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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   | Application Number       | Unassigned <b>10/512022</b>           |
|                                                                                                   |   | Filing Date              | October 19, 2004                      |
|                                                                                                   |   | First Named Inventor     | Tetsuhiro et al.                      |
|                                                                                                   |   | Art Unit                 | <del>Unassigned</del> <b>1722</b>     |
|                                                                                                   |   | Examiner Name            | <del>Unassigned</del> <b>Hitesher</b> |
| Sheet                                                                                             | 1 | of                       | 1                                     |
|                                                                                                   |   | Attorney Docket Number   | 93120                                 |

| U.S. PATENT DOCUMENTS |                          |                                                             |                                |                                                    |                                                                                |
|-----------------------|--------------------------|-------------------------------------------------------------|--------------------------------|----------------------------------------------------|--------------------------------------------------------------------------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant<br>of Cited Document | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |
| F.H.                  |                          | 4,002,523                                                   | 01/11/1977                     | Dyer                                               | →                                                                              |
| F.H.                  |                          | 5,911,823                                                   | 06/15/1999                     | Sonoda et al.                                      | —                                                                              |
|                       |                          |                                                             |                                |                                                    |                                                                                |
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| FOREIGN PATENT DOCUMENTS |                          |                                                                                                              |                                |                                                    |                                                                                 |                |
|--------------------------|--------------------------|--------------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>4</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>5</sup> |
| F.H.                     |                          | JP 63123893                                                                                                  | 05/27/1988                     | Shoichi et al.                                     |                                                                                 | Abstract       |
| F.H.                     |                          | JP 03080184                                                                                                  | 04/04/1991                     | NEC Corporation                                    |                                                                                 | Abstract       |
| F.H.                     |                          | JP 57-017494                                                                                                 | 01/29/1982                     | Toshiba Corporation                                |                                                                                 | Abstract       |
| F.H.                     |                          | JP 09-165298                                                                                                 | 06/24/1997                     | Koji et al.                                        |                                                                                 | Abstract       |

| NON PATENT LITERATURE DOCUMENTS |                          |                                                                                                                                                                                                                                                                 |                |
|---------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup> |
| F.H.                            |                          | Murthy et al.; "Growth of Dislocation-Free Silicon Crystals in the (110) Direction for Use as Neutron Monochromators," <i>Journal of Crystal Growth</i> , Vol. 52, (1981), pp. 391-395.                                                                         |                |
| F.H.                            |                          | Hoshikawa et al.; "Dislocation-Free Czochralski Silicon Crystal Growth without the Dislocation-Elimination-Necking Process," <i>Japan Journal of Applied Phys.</i> , Vol. 38 (1999) pp. L1369-L1371.                                                            |                |
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|-----------------------|-------------------------------------------------------------------------------------|--------------------|---------------|
| Examiner<br>Signature |  | Date<br>Considered | <b>8/2/04</b> |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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